

Complaints From Eligible Complainants

If you are an eligible complainant (as defined in DISP 2.7.3R), you should contact us immediately if you are dissatisfied with any aspect of the AIF management services provided to you by Lodbrok Capital LLP (“**Lodbrok**”).

Please write to:

The Compliance Officer
Lodbrok Capital LLP
55 St. James’s Street
London
SW1A 1LA

+44 207 509 6610

We take every complaint seriously and your complaint will be handled in accordance with the relevant FCA rules, which may differ depending upon your status, although note that it is Lodbrok’s policy to aim to resolve every complaint fairly and in a timely manner. Lodbrok has a written internal complaints handling policy, as required by the FCA Rules. You can obtain a copy of this on request, and in the event that you have cause for complaint about the AIF management services which Lodbrok provides to you, a copy of the policy will be sent to you.

In the event we fail to resolve a complaint to your satisfaction, or if we fail to do so within eight weeks of receiving your complaint, if you are an eligible complainant you may also be entitled to refer your complaint to:

The Financial Ombudsman Service
Exchange Tower
Harbour Exchange Square
London
E14 9SR

Telephone: 0800 023 4567
or at www.financial-ombudsman.org.uk.

You should contact us if there is any aspect of the provision of the MiFID investment services provided by Lodbrok that you are not satisfied with.

We take every MiFID complaint seriously and your complaint will be handled in accordance with the relevant FCA rules. Lodbrok has a written complaints handling policy, a copy of which is available upon request from Lodbrok’s compliance department at the above address.